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**Commissioner of Patents and Trademarks
Box Patent Application
Washington, D.C. 20231**

Attorney Docket No. 204863

First Named Inventor Cohen

Express Mail No. EL643537708US

ACCOMPANYING APPLICATION PARTS

- | CONTINUING APPLICATION | | NON-CONTINUING APPLICATION | |
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| 3. | <input checked="" type="checkbox"/> Drawings [Total Sheets 3] | 10. | <input type="checkbox"/> English Translation Document (if applicable) |
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(a) ☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior application Serial No. _____
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(b) Preliminary Amendment: Relate Back - 35 USC §120. The Commissioner is requested to amend the specification by inserting the following sentence before the first line:
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APPLICATION FEES				
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CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	23 -20=	3	x \$18.00	\$54.00
Independent Claims	3 - 3=	0	x \$80.00	\$0.00
<input type="checkbox"/> Multiple Dependent Claim if applicable			+\$270.00	\$
Total of above calculations =				\$764.00
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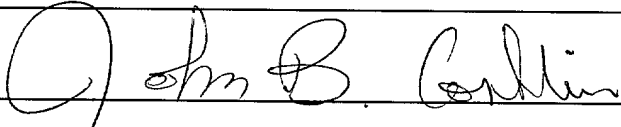
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Attorney Docket No. 204863

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Name	John B. Conklin, Registration No. 30,369
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Name of Person Signing	Signature	Date

**BI-DIRECTIONAL HTTP-BASED RELIABLE MESSAGING PROTOCOL
AND SYSTEM UTILIZING SAME**

5

TECHNICAL FIELD

This invention relates generally to messaging protocols for application-to-application messaging over distributed computer networks, and more particularly to bi-directional, HTTP-based messaging protocols enabling asynchronous messaging over both private and public computer networks such as the Internet.

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BACKGROUND OF THE INVENTION

Enterprise messaging systems enable computer programs to exchange information. These applications may be distributed among various computers located within a particular physical location, or distributed across an enterprise computer network at disparate physical locations. These computers may be coupled through a Large Area Network (LAN), a Wide Area Network (WAN), etc. The enterprise messaging systems that enable such communication, such as Microsoft's Message Queuing (MSMQ) assume that senders and receivers are symmetrical in their messaging capabilities, and that there is seamless direct connectivity between the sending and the receiving applications. Such communication utilizes a peer-to-peer communication model that allows either peer to generate and transmit messages to the other peer.

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As computer networks expand, and as business-to-business (B2B) e-commerce continues to grow, the need for messaging between computers located on separate private networks across the Internet becomes more and more important. Unfortunately, many private computer networks are protected from the public Internet by a firewall or proxy

system. Typically, web proxies mandate the use of the Hyper-Text Transfer Protocol (HTTP) as an enabling protocol on top of which higher-level protocols may be built.

Because web proxies mandate the use of HTTP as enabling protocol, they create a setting in which the sender and receiver do not have the same, or symmetrical, capabilities.

- 5 Specifically, in the HTTP protocol environment, the Internet-deployed party cannot directly, and without assistance, send messages to the party within the corporate firewall. As a result, this changes the communication model from a peer-to-peer communication model to a client-server communication model. As such, the "server" is unable to communicate directly with the "client" unless and until the "client" has initiated a
- 10 communication session through the web proxy or firewall. With this limitation on the client-server communication model dictated by the HTTP protocol, true peer-to-peer messaging is no longer possible.

- By design, HTTP is a request-response protocol in which data exchanges are initiated by a web client. This is true for both direct client-to-server connections as well
- 15 as for indirect connections facilitated by a web proxy server. HTTP requires that the web client send a request to the web server, and that the web server reply to the client. When a web proxy is utilized to isolate the public Internet from the private intranet or other private computer network, HTTP requires that the client send a request to the web proxy, which then forwards the request to the web server. In reply, the web server sends the web
- 20 proxy a response to this forwarded request. It is then the web proxy that relays this server response to the client. Because of this web proxy limitation mandating the use of HTTP, communication protocols built on top of HTTP do not allow a web server to send

unsolicited data to a client. In this context, unsolicited refers to data that is not sent as a reply to a client's request for information.

One system that has been devised to overcome the HTTP client-server model communications problem for messaging is known as polling. Under this polling system, the "client" processor periodically and frequently sends out an HTTP request for messages as illustrated in the timeline of Figure 5. As may be seen from this timeline, the client processor periodically transmits message requests 101, 103, 105, 107, etc. to the server. The frequency at which these messages are sent from the "client" to the "server" is dependent upon the application's data processing needs, and is often within the realm of once per second. Unfortunately, this polling technique greatly increases network traffic, especially in situations where the "server" has no messages to be sent to the "client". For each polling request that results in the delivery of a message in a polling reliable messaging system, 101, 103, 105, 107, etc., the HTTP-governed three-way handshake is conducted. That is, for each information poll request sent by the "client" to the "server", a response must be generated by the "server" providing the message as an acknowledgement of the information request, which in turn must be followed by an acknowledgement back from the "client" to complete the three-way handshake procedure governed by HTTP. If there is no message waiting, the server can simply reply with a "no messages waiting" response. The server does not need to wait for the client to confirm the receipt of this message because it does not require any state change in the server. A further disadvantage of this type of system exists since no information is capable of being sent from the "server" to the "client" unless and until the polling request message is received. During periods of heavy activity, the server may generate a significant number

of messages. These messages must be queued until the next opportunity to transmit to the "client" in response to the next poll request. As may well be imagined, this may significantly delay the processing in both the "client" and the "server" processor, and may result in time-critical messages not being sent on time.

5 A protocol that does allow for a "server-push" model of communication whereby the server may provide unsolicited data to a client residing on the private side of a web proxy is known as the Real Time Trading Protocol (RTTP). This protocol is utilized to provide real-time stock quotes and other real-time financial information over the Internet. However, the RTTP protocol does not provide for bi-directional communication between
10 the client and server, but instead merely provides updated information for display at the client location. RTTP utilizes a technology known as smart tunneling and secure tunneling to penetrate the network web proxy firewall. RTTP may tunnel through a web proxy because the type of information transmitted is lightweight and can be wrapped within HTTP packets when appropriate. While such may not present a security concern
15 for some networks, many sophisticated corporate firewalls and web proxies may not allow for such tunneling.

In view of the above, there exists a need for a reliable bi-directional HTTP-based message system that will allow distributed computers on opposite sides of web proxies to communicate in a virtual peer-to-peer relationship.

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SUMMARY OF THE INVENTION

The system and method of the instant invention involve an HTTP-based, reliable messaging protocol that enables bi-directional reliable messaging through a Web Proxy

Server. As discussed above, HTTP is a request-response protocol in which all data exchanges are initiated by the Web client. This is true for direct client to server connections and indirect connections facilitated by a Web Proxy Server. For direct client to server connections, the HTTP protocol directs that the Web client send a request to the Web server, and that the Web server reply to the client. The Web Proxy Protocol, on the other hand, directs that the client send a request to the web proxy and that the proxy forward the request to the web server. In response, the Web server sends the Web proxy a response to the request, and the Web proxy relays that response to the client. This protocol requirement does not allow a Web server using a communication protocol built on top of HTTP to send unsolicited data to the client. As a result, current messaging protocols require that the client periodically, and with great frequency, transmit requests for messages through the Web proxy to the Web server.

The protocol of the invention solves this problem and enables the sending of bi-directional unsolicited messages through a Web proxy server. The new protocol uses two client-initiated virtual channels to enable this bi-directional messaging. One channel is for client-to-server communication and server message delivery acknowledgments. The other virtual channel is for server-to-client communication and client message delivery acknowledgments.

In a preferred embodiment, a method of bi-directionally communicating between an application residing on a first processor on a private computer network and an application residing on a second processor not on the private computer network is presented. In this embodiment, the communication path includes a public computer

network and a proxy server coupled to the private computer network and separating the private computer network from the public computer network. The method of this embodiment comprises the establishing of a first communication channel between the two processors through the proxy server to allow the transfer of messages from the first processor to the second processor. This channel also allows the delivery of message delivery acknowledgments from the second processor to the first processor. In accordance with the invention, this method further includes the establishing of a second communication channel between the two processors through the proxy server to allow the transfer of messages from the second processor to the first processor. This second channel also allows the delivery of message delivery acknowledgments from the first processor to the second processor.

A computer-readable medium having computer-executable instructions for performing the above method is also presented in another embodiment of the invention.

In an alternate embodiment of the instant invention, a method of enabling transmission of unsolicited messages from a server to a client is presented. In this embodiment, the client resides on a private computer network and has a proxy server positioned between the private computer network and a public computer network. Further, the server transmits the unsolicited messages over the public computer network. The method of this embodiment comprises the transmitting of an HTTP-based request to the server via the proxy server to open a persistent connection. This HTTP-based request requests a reply from the server only when the server has messages to send to the client.

A computer-readable medium having computer-executable instructions for performing the above method is also presented in another embodiment of the invention.

In a further alternate embodiment, a method of transmitting unsolicited HTTP-based messages via a public computer network to a client residing on a private computer network that includes a proxy server is presented. In this embodiment of the invention, the method comprises the receiving of an HTTP-based request originating from the client
5 through the proxy server, and the parking of the HTTP-based request without responding thereto unless a message is generated that needs to be transmitted to the client. When the message is generated, the method of this embodiment generates an HTTP-based reply to the HTTP-based request parked for the client. This HTTP-based reply contains the message. Finally, the method of the invention transmits the HTTP-based reply.

10 A computer-readable medium having computer-executable instructions for performing the above method is also presented in another embodiment of the invention.

Additional features and advantages of the invention will be made apparent from the following detailed description of illustrative embodiments which proceeds with reference to the accompanying figures.

BRIEF DESCRIPTION OF THE DRAWINGS

15 While the appended claims set forth the features of the present invention with particularity, the invention, together with its objects and advantages, may be best understood from the following detailed description taken in conjunction with the
20 accompanying drawings of which:

Figure 1 is a block diagram generally illustrating an exemplary computer system on which the present invention may reside;

Figure 2 is a block diagram generally illustrating a system embodying the teachings of the instant invention engaging in bi-directional HTTP-based messaging through a web proxy server;

Figure 3 is a communication flow diagram illustrating messaging between a client
5 and a server through a web proxy server on an outgoing channel established in accordance with one aspect of the instant invention;

Figure 4 is a communication flow diagram illustrating messaging between a client and a server through a web proxy server on an incoming channel established in accordance with another aspect of the instant invention; and

10 Figure 5 is a timing diagram illustrating a prior art polling communication protocol enabling communication between a client and a server.

DETAILED DESCRIPTION OF THE INVENTION

Turning to the drawings, wherein like reference numerals refer to like elements,
15 the invention is illustrated as being implemented in a suitable computing environment. Although not required, the invention will be described in the general context of computer-executable instructions, such as program modules, being executed by a personal computer. Generally, program modules include routines, programs, objects, components, data structures, etc. that perform particular tasks or implement particular abstract data
20 types. Moreover, those skilled in the art will appreciate that the invention may be practiced with other computer system configurations, including hand-held devices, multi-processor systems, microprocessor based or programmable consumer electronics, network PCs, minicomputers, mainframe computers, and the like. The invention may also be

practiced in distributed computing environments where tasks are performed by remote processing devices that are linked through a communications network. In a distributed computing environment, program modules may be located in both local and remote memory storage devices.

5 Figure 1 illustrates an example of a suitable computing system environment 100 on which the invention may be implemented. The computing system environment 100 is only one example of a suitable computing environment and is not intended to suggest any limitation as to the scope of use or functionality of the invention. Neither should the computing environment 100 be interpreted as having any dependency or requirement
10 relating to any one or combination of components illustrated in the exemplary operating environment 100.

 The invention is operational with numerous other general purpose or special purpose computing system environments or configurations. Examples of well known computing systems, environments, and/or configurations that may be suitable for use with
15 the invention include, but are not limited to, personal computers, server computers, hand-held or laptop devices, multiprocessor systems, microprocessor-based systems, set top boxes, programmable consumer electronics, network PCs, minicomputers, mainframe computers, distributed computing environments that include any of the above systems or devices, and the like.

20 The invention may be described in the general context of computer-executable instructions, such as program modules, being executed by a computer. Generally, program modules include routines, programs, objects, components, data structures, etc. that perform particular tasks or implement particular abstract data types. The invention

may also be practiced in distributed computing environments where tasks are performed by remote processing devices that are linked through a communications network. In a distributed computing environment, program modules may be located in both local and remote computer storage media including memory storage devices.

5 With reference to Figure 1, an exemplary system for implementing the invention includes a general purpose computing device in the form of a computer 110.

Components of computer 110 may include, but are not limited to, a processing unit 120, a system memory 130, and a system bus 121 that couples various system components including the system memory to the processing unit 120. The system bus 121 may be any

10 of several types of bus structures including a memory bus or memory controller, a peripheral bus, and a local bus using any of a variety of bus architectures. By way of example, and not limitation, such architectures include Industry Standard Architecture (ISA) bus, Micro Channel Architecture (MCA) bus, Enhanced ISA (EISA) bus, Video Electronics Standards Associate (VESA) local bus, and Peripheral Component
15 Interconnect (PCI) bus also known as Mezzanine bus.

Computer 110 typically includes a variety of computer readable media. Computer readable media can be any available media that can be accessed by computer 110 and includes both volatile and nonvolatile media, removable and non-removable media. By way of example, and not limitation, computer readable media may comprise computer
20 storage media and communication media. Computer storage media includes both volatile and nonvolatile, removable and non-removable media implemented in any method or technology for storage of information such as computer readable instructions, data structures, program modules or other data. Computer storage media includes, but is not

limited to, RAM, ROM, EEPROM, flash memory or other memory technology, CD-ROM, digital versatile disks (DVD) or other optical disk storage, magnetic cassettes, magnetic tape, magnetic disk storage or other magnetic storage devices, or any other medium which can be used to store the desired information and which can be accessed by computer 110. Communication media typically embodies computer readable instructions, data structures, program modules or other data in a modulated data signal such as a carrier wave or other transport mechanism and includes any information delivery media. The term "modulated data signal" means a signal that has one or more of its

characteristics set or changed in such a manner as to encode information in the signal. By way of example, and not limitation, communication media includes wired media such as a wired network or direct-wired connection, and wireless media such as acoustic, RF, infrared and other wireless media. Combinations of the any of the above should also be included within the scope of computer readable media.

The system memory 130 includes computer storage media in the form of volatile and/or nonvolatile memory such as read only memory (ROM) 131 and random access memory (RAM) 132. A basic input/output system 133 (BIOS), containing the basic routines that help to transfer information between elements within computer 110, such as during start-up, is typically stored in ROM 131. RAM 132 typically contains data and/or program modules that are immediately accessible to and/or presently being operated on by processing unit 120. By way of example, and not limitation, Figure 1 illustrates operating system 134, application programs 135, other program modules 136, and program data 137.

The computer 110 may also include other removable/non-removable, volatile/nonvolatile computer storage media. By way of example only, Figure 1 illustrates a hard disk drive 140 that reads from or writes to non-removable, nonvolatile magnetic media, a magnetic disk drive 151 that reads from or writes to a removable, nonvolatile magnetic disk 152, and an optical disk drive 155 that reads from or writes to a removable, nonvolatile optical disk 156 such as a CD ROM or other optical media. Other removable/non-removable, volatile/nonvolatile computer storage media that can be used in the exemplary operating environment include, but are not limited to, magnetic tape cassettes, flash memory cards, digital versatile disks, digital video tape, solid state RAM, solid state ROM, and the like. The hard disk drive 141 is typically connected to the system bus 121 through a non-removable memory interface such as interface 140, and magnetic disk drive 151 and optical disk drive 155 are typically connected to the system bus 121 by a removable memory interface, such as interface 150.

The drives and their associated computer storage media discussed above and illustrated in Figure 1, provide storage of computer readable instructions, data structures, program modules and other data for the computer 110. In Figure 1, for example, hard disk drive 141 is illustrated as storing operating system 144, application programs 145, other program modules 146, and program data 147. Note that these components can either be the same as or different from operating system 134, application programs 135, other program modules 136, and program data 137. Operating system 144, application programs 145, other program modules 146, and program data 147 are given different numbers here to illustrate that, at a minimum, they are different copies. A user may enter commands and information into the computer 110 through input devices such as a

keyboard 162 and pointing device 161, commonly referred to as a mouse, trackball or touch pad. Other input devices (not shown) may include a microphone, joystick, game pad, satellite dish, scanner, or the like. These and other input devices are often connected to the processing unit 120 through a user input interface 160 that is coupled to the system

5 bus, but may be connected by other interface and bus structures, such as a parallel port, game port or a universal serial bus (USB). A monitor 191 or other type of display device is also connected to the system bus 121 via an interface, such as a video interface 190. In addition to the monitor, computers may also include other peripheral output devices such as speakers 197 and printer 196, which may be connected through an output peripheral

10 interface 195.

The computer 110 may operate in a networked environment using logical connections to one or more remote computers, such as a remote computer 180. The remote computer 180 may be another personal computer, a server, a router, a network PC, a peer device or other common network node, and typically includes many or all of the

15 elements described above relative to the personal computer 110, although only a memory storage device 181 has been illustrated in Figure 1. The logical connections depicted in Figure 1 include a local area network (LAN) 171 and a wide area network (WAN) 173, but may also include other networks. Such networking environments are commonplace in offices, enterprise-wide computer networks, intranets and the Internet.

20 When used in a LAN networking environment, the personal computer 110 is connected to the LAN 171 through a network interface or adapter 170. When used in a WAN networking environment, the computer 110 typically includes a modem 172 or other means for establishing communications over the WAN 173, such as the Internet.

The modem 172, which may be internal or external, may be connected to the system bus 121 via the user input interface 160, or other appropriate mechanism. In a networked environment, program modules depicted relative to the personal computer 110, or portions thereof, may be stored in the remote memory storage device. By way of example, and not limitation, Figure 1 illustrates remote application programs 185 as residing on memory device 181. It will be appreciated that the network connections shown are exemplary and other means of establishing a communications link between the computers may be used.

In the description that follows, the invention will be described with reference to acts and symbolic representations of operations that are performed by one or more computer, unless indicated otherwise. As such, it will be understood that such acts and operations, which are at times referred to as being computer-executed, include the manipulation by the processing unit of the computer of electrical signals representing data in a structured form. This manipulation transforms the data or maintains it at locations in the memory system of the computer, which reconfigures or otherwise alters the operations of the computer in a manner well understood by those skilled in the art. The data structures where data is maintained are physical locations of the memory that have particular properties defined by the format of the data. However, while the invention is being described in the foregoing context, it is not meant to be limiting as those of skill in the art will appreciate that various of the acts and operation described hereinafter may also be implemented in hardware.

The protocol of the instant invention enables a system, such as that illustrated in Figure 2, to communicate with bi-directional unsolicited messages through a web proxy

server 200 between a “server” 202 and a “client” 204 via a public computer network, such as the Internet 206. In accordance with the instant invention, two client-initiated virtual channels 208, 210 are established to enable this bi-directional unsolicited communication. One of the channels 208 is established for client-to-server

5 communications and server message delivery acknowledgements. The other virtual channel 210 is used for server-to-client communication and client message delivery acknowledgements. As illustrated in Figure 2, each of the virtual channels 208, 210 may be considered in two portions. A first portion of each of the virtual channels 208a, 210a exists on the private or client side of the web proxy server 200. The second portion of
10 each of these virtual channels 208b, 210b exists on the public network side of the web proxy server 200, e.g. on the Internet side of the web proxy server 200.

As may be seen from this Figure 2, the protocol of the instant invention is utilized within a messaging system 212 within the client 204, and within a messaging system 214 within the server 202. These messaging systems 212, 214 enable the bi-directional
15 unsolicited transfer of messages between applications 216, 218 within the client 204 and server 202, respectively. Through the messaging system 212, 214 and protocol of the instant invention, the application programs 216, 218 may communicate in a virtual peer-to-peer relationship through the web proxy server 200 via the Internet 206 as if their host machines were physically coupled through a private network utilizing a peer-to-peer
20 communications model. In this way, the capabilities and benefits of a bi-directional computer messaging system may be incorporated into Internet-based remote computing systems and business-to-business integration technologies and business to consumer electronic commerce technologies that extensively use and rely on the Internet. The

protocol serves as a core technology for reliable Internet messaging because it enables clients to receive and send messages in an efficient manner without the need for any facilitating communication to allow the server to send messages to the client (such as the above-described polling system that requires the client to periodically query the server for messages). Compared with such a system, the system and protocol of the instant invention is more efficient with regard to network traffic and server loading, and reduces processing latencies necessitated by the queuing of messages often required in polling.

When an application 216 on the private-network side of the web proxy server 200 (executing on the client 204) wishes to engage in bi-directional messaging with an application 218 on the public network side of the web proxy server 200 (the server 202), the client 204 opens two virtual channels 208, 210. One channel 208 is established for outgoing traffic, and the other channel 210 is established for incoming traffic. While bi-directional messaging is the common situation, the client 204 may choose to open only the outgoing 208 or incoming 210 channels. In a preferred embodiment, the client 204 has sole responsibility when it comes to establishing and maintaining the connections, including but not limited to the reconnection policy in the channels it wishes to establish. This protects the client 204 from unwanted traffic, and gives the client 204 control of network usage and cost. This is advantageous for users that may have per minute connections fees and who wish to minimize the time that they are connected to the server 202. Those clients may prefer to work in bursts of communication, as opposed to message trickle. Administrators of large networks, on the other hand, may prefer network users to work in a trickle mode, since such a mode gives better network load distribution

and helps prevent temporal network congestion resulting from multiple clients initiating burst communication during the same period of time.

The outbound communication channel 208 is intended for client-to-server messaging and server message delivery acknowledgements. This channel 208 uses the HTTP messaging pattern as illustrated in Figure 3. As may be seen from this Figure 3, the client 204 sends 220 an HTTP request with the message body to the web proxy 200, which then forwards 222 the request to the server 202. The server 202 then sends 224 an HTTP reply with a delivery or non-delivery acknowledgement. This reply is received by the web proxy 200, which then returns 226 the reply to the client 204. The server 202

may ask for retransmission of the original message or a previous message, and in cases where a reply is required, the server 202 may provide the client 204 with information as to when a reply for that message will be available. The client 204 may decide when and if to use any information provided by the server 202. For example, the client 204 may use this information and a reconnection policy to determine when it needs to open an inbound channel 210 (see Figure 2) with the server 202 to receive the information.

The inbound communication channel 210 is for server-to-client communication and client message delivery acknowledgements. This channel 210 uses a messaging pattern that is reversed from the normal HTTP communication pattern as illustrated in Figure 4 to which specific reference is now made. To initiate this channel 210, the client 204 sends 228 an HTTP "request" asking for messages to the web proxy 200, which then forwards 230 this "request" to the server 202 where it is parked. This parking of an HTTP "request" at the server 202 establishes a connection setup phase 232 of this

inbound communication channel 210. The parked "request" enables the server 202 to reply to the client 204 whenever the server 202 has a message that needs to be sent.

During this communication phase 234, the server 202 sends 236 an HTTP "reply" with the message content to the web proxy 200, which will forward 238 the "reply" with the message content to the client 204. This "reply" is in response to the parked "request" previously delivered during the connection setup phase 232, and embodies the message that needs to be sent from the server 202 to the client 204. In response to the receipt of this "reply", the client 204 will send 240 a delivery acknowledgement as an HTTP "request" to the web proxy 200, which will then forward 242 the HTTP "request" with the message acknowledgement to the server 202. This acknowledgement will act as the parked request to which the server may then respond with the next message whenever the server 202 generates such a message.

Unlike polling systems that only allow the server to send messages at the discrete times of the polling messages, under the protocol of the instant invention the HTTP "request" is parked at the server 202 to enable the server to transmit messages at any point in time that the messages are generated. This significantly increases the efficiency of the message transfer since the messages must no longer be queued at the server 202 to await a polling request before they may be delivered to the client 204. Likewise, the client-generated HTTP message acknowledgement is embodied in a HTTP "request" that serves to acknowledge that the previous message was successfully delivered, and serves as a parked "request." This newly parked request once again allows the server 202 to transmit messages to the client 204 as soon as they are generated within the server 202.

Since messaging on the Internet is subject to varying network conditions, connections may be brought down, different networking layers may time out in cases where no data flows through them for prolonged periods of time, servers may become unavailable, etc., all resulting in a loss of the connection. In the event where the client and server connections are severed, it is the responsibility of the client to detect this and to try to reconnect to the server 202. That is, the client 204 is responsible for network state detection and session reconnection. If the connection is lost because the web proxy server 200 times out and closes the connection, the proxy 200 typically sends a connection closure message to the client 204. In such an event, the client 204 merely retransmits an HTTP "request" to the server 202 as illustrated by message transmission 228, 230, establishing the connection setup phase 232 of Figure 4.

While the web proxy 200 typically notifies the client 204 when it severs a connection, other disconnections may not provide such notification. To account for these connection losses, a preferred embodiment of the system and protocol of the instant invention periodically retransmits the HTTP "request" 228, 230 to ensure that the server 202 has a parked "request" to which it may respond whenever messages are generated therein. The time interval for these retransmissions may be established as desired, and may be in the realm of once every one to two minutes, or even as long as five minutes. For non-time-critical systems, this can safely be set to an hour or more. However, recognizing that TCP/IP will hold a connection open for approximately two days, the client 204 has wide latitude on selecting this retransmission interval as desired. These retransmissions do not significantly increase network traffic because, unlike conventional

polling systems, the server 202 does not respond to the "request" unless and until it has a message that it wishes to send to the client 204.

In an alternate embodiment of the system and protocol of the instant invention, the client generated "request" that is sent to and parked at the server may include a request
5 that the server send a reply after a period of time. This will ensure that the client's proxy server 200 will not time out and close the connection due to inactivity on the channel. In response to this "reply," the client will again send a "request" that will remain parked at the server until it has a message to send, or until the suggested time for transmission of a reply to avoid proxy connection closure. The time period that the client specifies for this
10 connection maintaining reply may be dynamically adjusted based on the particular proxy 200 employed by the client's system, or may be set to a discrete value. Setting a discrete value provides some assurance to the client that the connection has not failed for some undetectable reason, such as a TCP/IP drop, etc.

In order to adjust the time for the reply dynamically, the client may first request
15 that a reply not be sent at all, or may include a long time period such as 5 minutes, for example. If the client receives a connection time out closure message from the proxy, the client can then calculate a retransmit period less than the time out period. The client can then use this time in the request that it sends to the server to re-open the connection. If the client receives another connection closure message from the proxy due to a time out,
20 the client may reduce the retransmit period and include this new period in the next request that is transmitted to and parked at the server.

As will be recognized by those skilled in the art from the foregoing discussion, the protocol of the instant invention allows different message interaction patterns, including

but not limited to single-sided client messages, single-sided server messages, bi-directional message exchange, and "conversation" (a "conversation" is a series of message exchanges that are related to one another). Further, the protocol of the instant invention does not limit the kind or number of message exchange patterns that can occur

5 concurrently. For example, different conversations may be held between different applications at the same time using the same virtual sessions.

In view of the many possible embodiments to which the principles of this invention may be applied, it should be recognized that the embodiment described herein

10 with respect to the drawing figures is meant to be illustrative only and should not be taken as limiting the scope of invention. For example, those of skill in the art will recognize that the elements of the illustrated embodiment shown in software may be implemented in hardware and vice versa or that the illustrated embodiment can be modified in arrangement and detail without departing from the spirit of the invention. Therefore, the

15 invention as described herein contemplates all such embodiments as may come within the scope of the following claims and equivalents thereof.

CLAIMS**I claim:**

1. A method of bi-directionally communicating between an application residing on a first processor on a private computer network and an application residing on
5 a second processor not on the private computer network, the communication path including a public computer network and a proxy server coupled to the private computer network and separating the private computer network from the public computer network, the method comprising:

establishing a first communication channel between the first processor and the
10 second processor through the proxy server to allow the transfer of first messages from the first processor to the second processor, and the delivery of first message delivery acknowledgments from the second processor to the first processor; and

establishing a second communication channel between the first processor and the
second processor through the proxy server to allow the transfer of second messages from
15 the second processor to the first processor, and the delivery of second message delivery acknowledgments from the first processor to the second processor.

2. The method of claim 1, wherein the establishing of a first communication channel comprises transmitting a first HTTP-based “request” to the second processor via
20 the proxy server, the first “request” including at least one of the first messages therein.

3. The method of claim 1, wherein the establishing of a second communication channel comprises transmitting a second HTTP-based “request” to the

second processor via the proxy server to be parked at the second processor, the second “request” establishing a persistent HTTP connection between the first processor and the second processor through the proxy server.

5 4. The method of claim 3, further comprising receiving an HTTP-based “reply” from the second processor on the second communication channel, the HTTP-based “reply” including at least one of the second messages therein.

10 5. The method of claim 4, further comprising transmitting a third HTTP-based “request” to the second processor via the proxy server in response to receiving the HTTP-based “reply”, the third HTTP-based “request” containing an acknowledgment for the HTTP-based “reply” and further establishing a persistent HTTP connection between the first processor and the second processor through the proxy server.

15 6. The method of claim 3, wherein the first processor only receives an HTTP-based “reply” from the second processor on the second communication channel when the second processor has at least one of the second messages to send to the first processor.

20 7. The method of claim 3, wherein the second HTTP-based “request” includes therein a request that the second processor transmit a reply after the expiration of a time period even if there are no second messages so that the first processor can assess a status of the connection thereto.

8. The method of claim 7, further comprising setting the time period to be less than two days.

9. The method of claim 7, further comprising setting the time period to be approximately five minutes.

10. The method of claim 7, further comprising dynamically adjusting the time period based upon a connection time out closure controlled by the proxy server.

11. The method of claim 10, wherein the dynamically adjusting of the time period comprises:

receiving a connection time out closure message from the proxy server;
determining a first time between transmitting the second HTTP-based “request” and receiving a connection time out closure message from the proxy server; and
calculating a new time period to be less than the first time and less than the time period.

12. A computer-readable medium having computer-readable instructions for performing the method of claim 1.

13. A method of enabling transmission of unsolicited messages from a server to a client, the client residing on a private computer network having a proxy server between the private computer network and a public computer network, the server

transmitting the unsolicited messages over the public computer network, the method comprising transmitting an HTTP-based request to the server via the proxy server to open a persistent connection therewith, the HTTP-based request requesting a reply from the server only when the server has messages to send to the client.

5

14. The method of claim 13, further comprising selecting a connection time out period, and including the connection time out period in the HTTP-based request so that the HTTP-based request further requests a reply from the server after the expiration of the connection time out period even if there are no messages to send to the client.

10

15. The method of claim 14, further comprising dynamically adjusting the connection time out period to avoid connection termination by the proxy server due to communication inactivity.

15

16. The method of claim 15, wherein the step of dynamically adjusting the connection time out period comprises:

receiving a connection time out closure message from the proxy server;

calculating a new time period from the transmitting of the HTTP-based request to the receiving of the connection time out closure message; and

20

reducing the connection time out period to be less than the new time period and less than a current value of the connection time out period.

17. The method of claim 13, further comprising:

receiving a connection time out closure message from the proxy server indicating that the proxy server has closed the persistent connection;

calculating a connection time out period from the transmitting of the HTTP-based request to the receiving of the connection time out closure message; and

5 transmitting a second HTTP-based request to the server via the proxy server to open a persistent connection therewith, the second HTTP-based request requesting a reply from the server when the server has messages to send to the client and after the expiration of the connection time out period if there are no messages to send to the client.

10 18. The method of claim 17, further comprising:

receiving a second connection time out closure message from the proxy server;

reducing the connection time out period to form a new connection time out period shorter in duration than the connection time out period; and

15 transmitting a third HTTP-based request to the server via the proxy server to open a persistent connection therewith, the third HTTP-based request requesting a reply from the server when the server has messages to send to the client and after the expiration of the new connection time out period if there are no messages to send to the client.

19. A computer-readable medium having computer-readable instructions for
20 performing the method of claim 13.

20. A method of transmitting unsolicited HTTP-based messages via a public computer network to a client residing on a private computer network, the private computer network including a proxy server, the method comprising:

receiving an HTTP-based request originating from the client through the proxy
5 server; and
parking the HTTP-based request without responding thereto unless a message is generated that needs to be transmitted to the client; and
when the message is generated
generating an HTTP-based reply to the HTTP-based request parked for the
10 client, the HTTP-based reply containing the message therein; and
transmitting the HTTP-based reply.

21. The method of claim 20, further comprising:

receiving a second HTTP-based request containing a message acknowledgment
15 from the client through the proxy server;
parking the second HTTP-based request.

22. The method of claim 20, wherein the HTTP-based request includes connection time out period information, and wherein the step of parking the HTTP-based
20 request further comprises:

parking the HTTP-based request without responding thereto until the expiration of the connection time out period; and
when the connection time out period expires

generating an HTTP-based reply to the HTTP-based request parked for the client, and

transmitting the HTTP-based reply.

23. A computer-readable medium having computer-executable instructions for performing the method of claim 20.

[illegible]

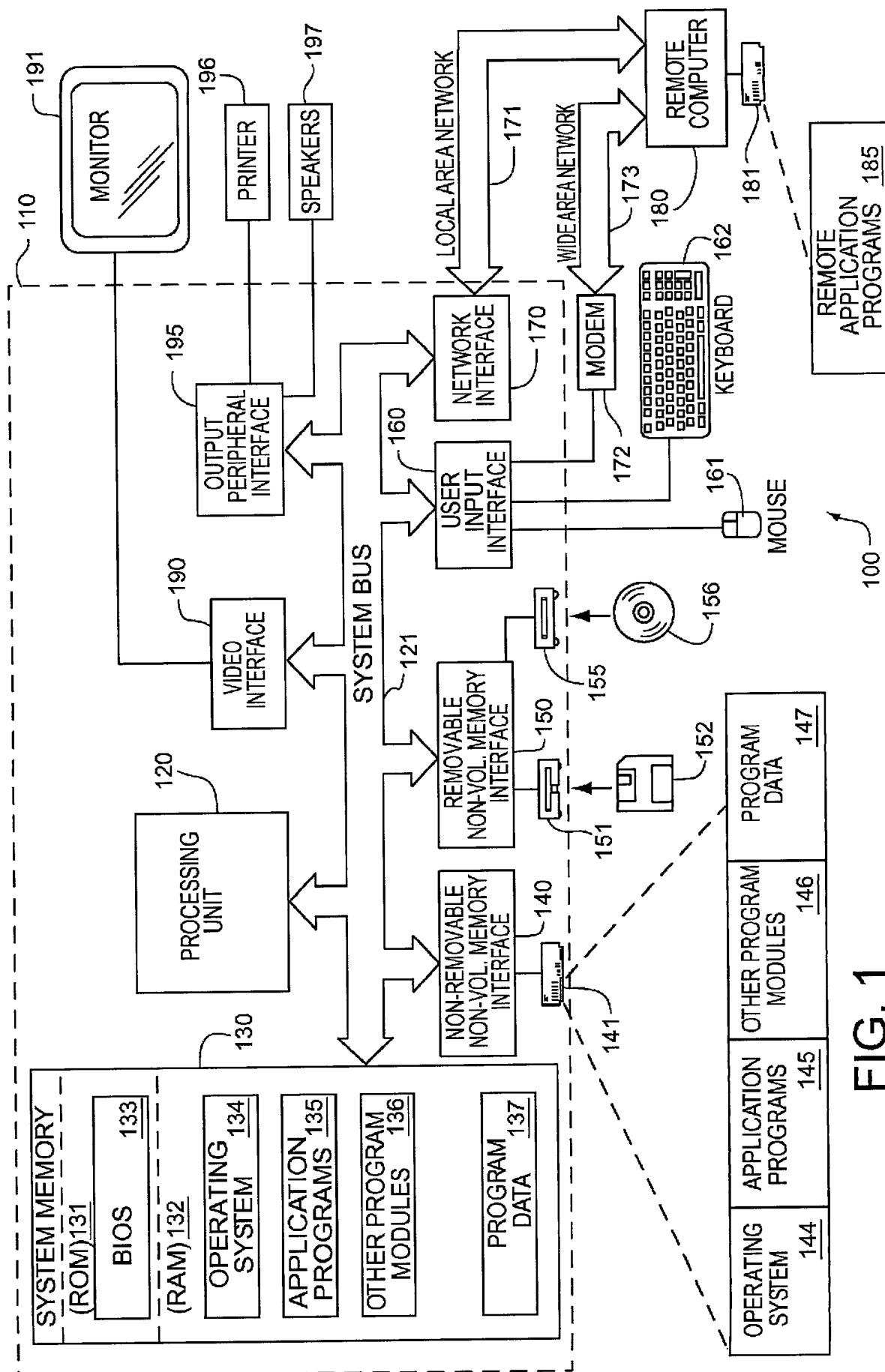
ABSTRACT OF THE INVENTION

A system and protocol for enabling bi-directional HTTP-based reliable messaging through a Web proxy server via the Internet is presented. The client processor residing on the private computer network side of the Web proxy establishes two virtual channels with

5 a server. One of the channels is used for outgoing messages from the client to the server, and message delivery acknowledgments. The other channel is used for incoming messages from the server to the client, and message delivery acknowledgments. This incoming channel is established and maintained by the client through the Web proxy server so that the client has full control over the channel. The establishment is

10 accomplished by the transmission of an HTTP-based "request" to the server. The server does not respond until and unless it has a message to be transmitted to the client. Once such a message is generated, the server generates an HTTP-based "reply" to this request, and transmits it to the client via the Web proxy server. The client then sends another HTTP-based request to the server with the message acknowledgment included therein.

15 The client may request that the server reply to the request after some period of time to allow the client to assess the health of the connection and avoid connection time out disconnections by the Web proxy server. This period may be fixed or may be dynamically adjusted.



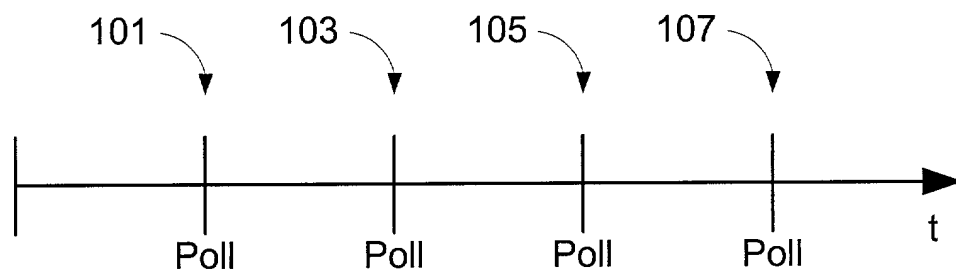
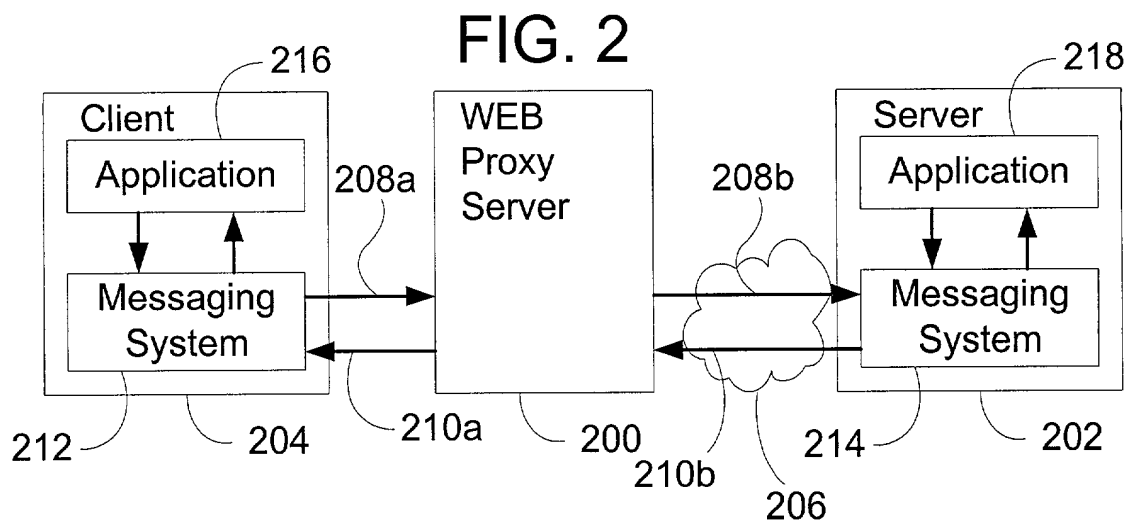


FIG. 5
(PRIOR ART)

FIG. 3

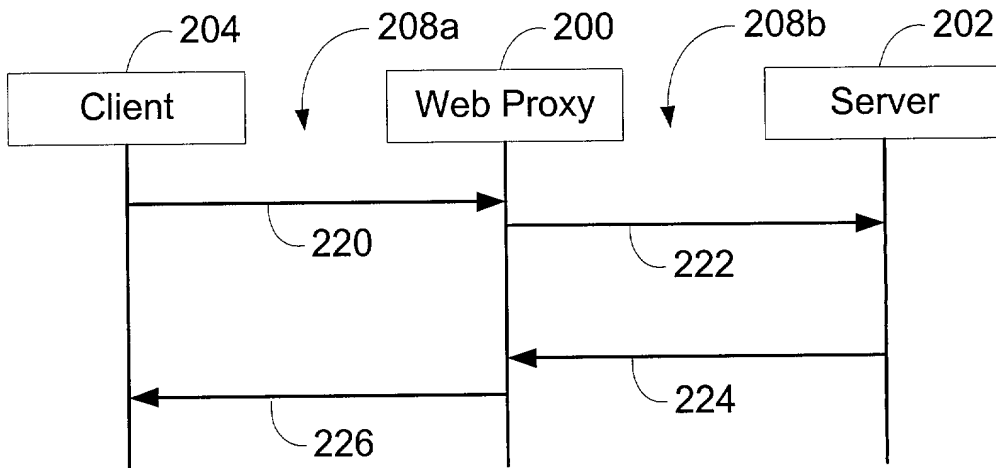
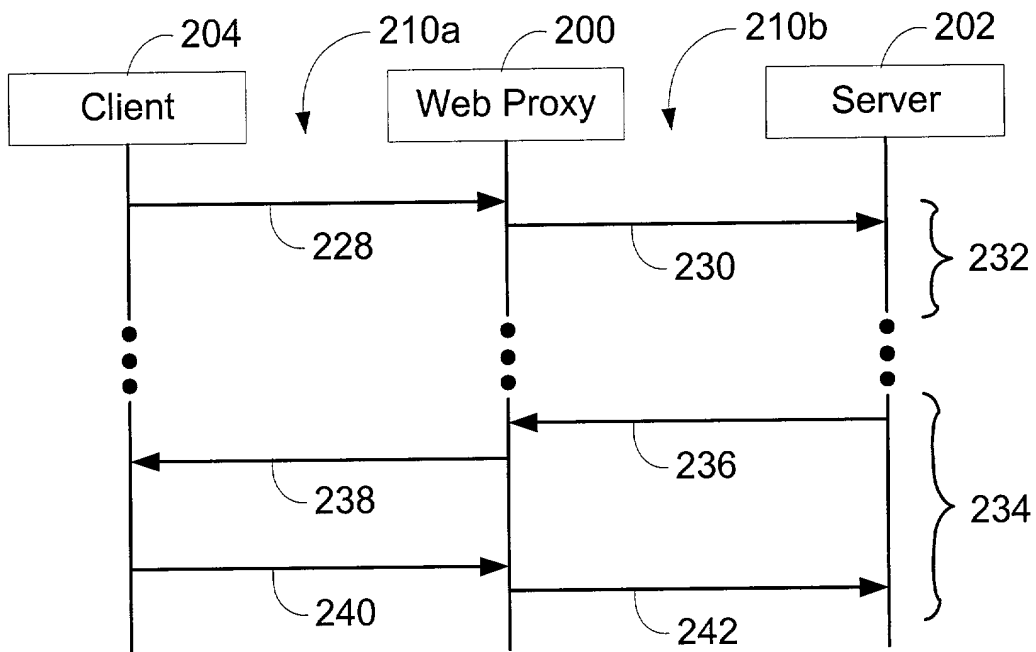


FIG. 4



COMBINED DECLARATION AND POWER OF ATTORNEY

As below named inventor, I hereby declare that

This declaration is of the following type:

- ☒ original ☐ design ☐ supplemental
☐ national stage of PCT
☐ divisional ☐ continuation ☐ continuation-in-part

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (*if only one name is listed below*) or an original, first, and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

BI-DIRECTIONAL HTTP-BASED RELIABLE MESSAGING PROTOCOL

the specification of which:

- ☒ is attached hereto.
☐ was filed on _____ as Serial No. _____ and was amended on _____. (*if applicable*).
☐ was filed by Express Mail No. _____ as Serial No. not known yet, and was amended on _____ (*if applicable*).
☐ was described and claimed in PCT International Application No. _____ filed on _____ and as amended under PCT Article 19 on _____ (*if any*).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

{PRIVATE }COUNTRY	APPLICATION	DATE OF FILING (day,month,year)	PRIORITY CLAIMED UNDER 35 USC 119		
				YES	NO
				YES	NO
				YES	NO
				YES	NO

In re Application of Cohen et al
Application No Not Assigned

I hereby claim the benefit pursuant to Title 35, United States Code, § 119(e) of the following United States provisional application(s):

PRIOR U.S. PROVISIONAL APPLICATIONS CLAIMING THE BENEFIT UNDER 35 USC 119(e)	
APPLICATION NO.	DATE OF FILING

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

{PRIVATE }PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 USC 120					
U.S. APPLICATIONS			Status (check one)		
U.S. APPLICATIONS	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
1.					
2.					
3.					
{PRIVATE }PCT APPLICATIONS DESIGNATING THE U.S.			Status (check one)		
PCT APPLICATION No.	PCT FILING DATE	U.S. SERIAL NOS. ASSIGNED (if any)	PATENTED	PENDING	ABANDONED
4.					
5.					
6.					

{PRIVATE }DETAILS OF FOREIGN APPLICATIONS FROM WHICH PRIORITY CLAIMED UNDER 35 USC 119 FOR ABOVE LISTED U.S./PCT APPLICATIONS				
ABOVE APPLN. NO.	COUNTRY	APPLICATION NO.	DATE OF FILING (day,month,yr)	DATE OF ISSUE (day,month,yr)
1.				
2.				
3.				
4.				

In re Application of Cohen et al
Application No Not Assigned

As a named inventor, I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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I further direct that correspondence concerning this application be directed to Customer Number 23460.



23460

PATENT TRADEMARK OFFICE

I hereby declare that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Shy Cohen

Inventor's signature _____

Date 9/26/2000

Country of Citizenship: Israel

Residence: 17020 SE 41st Street, Bellevue, Washington 98008

Post Office Address: Same as above

PATENT APPLICATION

Invention Title:

BI-DIRECTIONAL HTTP-BASED RELIABLE MESSAGING PROTOCOL

Inventors:

COHEN, Shy	Israel	Bellevue	Washington
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INVENTOR'S NAME	CITIZENSHIP	CITY OF RESIDENCE	STATE or FOREIGN COUNTRY
INVENTOR'S NAME	CITIZENSHIP	CITY OF RESIDENCE	STATE or FOREIGN COUNTRY
INVENTOR'S NAME	CITIZENSHIP	CITY OF RESIDENCE	STATE or FOREIGN COUNTRY
INVENTOR'S NAME	CITIZENSHIP	CITY OF RESIDENCE	STATE or FOREIGN COUNTRY

Be it known that the inventors listed above have invented a certain new and useful invention with the title shown above of which the following is a specification.